

City of Minneapolis Audit Committee

RiskMaster Post-Implementation Review

#2013-06

November 13, 2013

Regular Meeting

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Background

- The Risk Management and Claims (RMC) is a division of the City's Finance & Property Services department. RMC's old software was called "PC Comp" for Workers' Compensation claims' information and an Access database for general liability claims. RiskMaster is the new software that replaced both the PC Comp and the Access database. The information stored in RiskMaster is protected with no public information releases; the information is stored on the vendor's data center. Also, the financial information stored in RiskMaster is used by the City to estimate liabilities and future reserves related to Workers' Compensation and General Liability. RiskMaster was implemented in September 2012 by the City's RMC division, Information Technology (IT) department, and the vendor, Computer Sciences Corporation (CSC).

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Objective

- To ensure data was accurately and completely transferred into the new RiskMaster system while adequate physical and logical security are in place and reports are available for management monitoring.

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Scope

- This review included gaining an understanding of internal controls, including current policies and procedures, through observation, inquiry, and testing related to RiskMaster Post-Implementation. We compared data converted on September 20, 2012 from the old system (PC Comp and the Access database) to the new RiskMaster system for completeness and accuracy. We also reviewed the CSC's independent service auditor Statement on Standards for Attestation Engagements (SSAE) No. 16 for the adequacy and effectiveness of physical and logical security over CSC's facilities' data centers where RiskMaster information is stored.

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No Audit Findings

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Conclusion

- IA is pleased to acknowledge there were no reportable findings noted during this review.
- IA would like to extend our appreciation to the RMC, Finance, and IT personnel who assisted and cooperated with us during this review.