

STATE OF MINNESOTA
FOURTH JUDICIAL DISTRICT COURT



KEVIN S. BURKE
CHIEF JUDGE
HENNEPIN COUNTY GOVERNMENT CENTER
MINNEAPOLIS, MINNESOTA 55487-0422
(612) 348-4389
FAX (612) 348-5374
kevin.burke@co.hennepin.mn.us

April 26, 2004

The Honorable R.T. Rybak
Mayor of Minneapolis
Room 331, City Hall
350 South Fifth Street
Minneapolis, MN 55415

Council President Paul Ostrow
And Members of the City Council
Room 307, City Hall
350 South Fifth Street
Minneapolis, MN 55415

FILED
MINNEAPOLIS
04 APR 27 PM 2:55
CLERK OF DISTRICT COURT
DEPARTMENT

Re: Finalists for Appointment to the Minneapolis Ethical Practices Board

Dear Mayor Rybak, Council President Ostrow and Members of the City Council:

On behalf of the appointing committee for the Ethical Practices Board, I am pleased to forward to you the names of the finalists for the inaugural appointments to the Board. The finalists for the three Board seats are the Honorable Roberta K. Levy, Mr. Thomas J. Schumacher, and Dr. Laura M. Reich. Information about each of the finalists is attached.

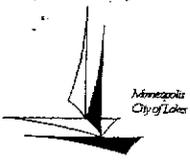
Each of the finalists comes to the City's Ethical Practices Board with prior experience serving on ethics regulatory and oversight bodies in their varied professional disciplines. The appointing committee is confident that these three people will provide excellent leadership in establishing the City's new Ethical Practices Board and in promoting high ethical standards of conduct and a healthy ethical culture throughout Minneapolis City government.

Sincerely,

A handwritten signature in cursive script that reads "Kevin S. Burke".

The Honorable Kevin Burke
Chief Judge, Hennepin County District Court

c: Dean Thomas M. Mengler, University of St. Thomas School of Law
Dean Alex M. Johnson, Jr., University of Minnesota Law School



APPLICATION FOR APPOINTMENT TO THE CITY OF MINNEAPOLIS ETHICAL PRACTICES BOARD

NAME: Laura M. Reich, D.O.		DATE: 2/6/04
ADDRESS: 3000 West River Parkway # 306, Minneapolis, MN 55406		ZIP +4:
HOME TELEPHONE NUMBER:	WORK TELEPHONE NUMBER:	WORK FAX NUMBER:
[REDACTED]	[REDACTED]	[REDACTED]
OCCUPATION: Physician	EMPLOYER: University of Minnesota	
EMPLOYER ADDRESS: Hematology, Oncology & Transplantation Division, Dept. of Medicine, University of Minnesota, MMC 480, 420 Delaware St. SE, Minneapolis, MN 55455		

Please print or type your answers to the following questions on this application, or on additional sheets.

Describe your background related to any required or desirable qualification listed in the Volunteer Opportunity Notice. Include any applicable experience with civic, professional or volunteer organizations and other city boards or commissions. List any awards or special recognition.

see attached sheets

FILED
MINNAPOLI, MINN
04 FEB - 6 PM 4:39
CITY CLERK
DEPARTMENT

List the reasons you want to serve on this body:

Laura M. Reich
Ethical Practices Board Application
February 6, 2004

1. My experience with ethics began as a member of the hospital ethics committee during my Internal Medicine residency. Monthly committee meetings involved discussion of current ethical issues, didactics of ethics-related topics, and implementation of committee policies and procedures. Impromptu meetings were also held to discuss cases needing to be brought before the committee for immediate consideration, such as conflicts between family members and/or physicians regarding treatment for terminally ill patients, charges of unprofessional conduct, or situations regarding conflicts of interest. We reviewed these cases and made recommendations for resolution in accordance with hospital, state, and federal policy.

I have had formal instruction in ethics to fulfill requirements for a masters degree in Clinical research as well as for university, state, and federal requirements for individuals performing research. One course, *Public Health 5742, Ethics Research and Policy* examined the history of ethics in society as well as the legal, political, legislative, and social ethical implications of research. A focus on the fundamental ethics documents in this area-- the *World Medical Association's Declaration of Helsinki*, the *Code of Federal Regulations Title 45*, and the *Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects in Research*-- provided a framework for discussion and debate of real ethical cases. Further, two courses sponsored by the National Institutes of Health-- Human Participant Protections and Responsible Conduct of Research--focused on the core principles of ethical practice in research and in dealing with ethical issues in individuals and in different populations. Although these courses are focused on ethics as it applies to research, the basic principles can be applied to situations arising in city government also, since ethical principles are universal in nature.

Recently, I have had practical experience with an ethical regulatory body as a graduate student and medical fellow during meetings of the Institutional Review Board of the University of Minnesota. This board is comprised of appointed physicians, nurses, administrators, and community members who review and regulate

all research at the university. The agenda at these meetings consists of reviewing studies for feasibility, plausibility, and good ethical practice as mandated by the National Institutes of Health and the University of Minnesota. Consent forms and study forms were reviewed. Protocols were reviewed concerning issues of privacy, conflict of interest, research equipoise, vulnerability, coercion, equal representation of minority/social groups.

Other committee experience I have had has been as a graduate student representative to the Division Training and Curriculum Committee of the School of Public Health at the University of Minnesota, a co-chair of the weekly Grand Rounds Committee at the Lankenau Hospital, and as a founding member of the Women's Center at Albright College, Reading Pa. This latter experience involved organizing a group of students with a common mission of advancing the needs of women in the college community, obtaining recognition as an official organization from the Campus Center Board, drafting a mission statement and constitution, securing office and meeting space, and leading monthly meetings.

These experiences along with my daily responsibilities as a physician and clinical researcher have provided not only a depth of experience dealing with such ethical issues as privacy, conflict of interest, appropriate professional conduct, coercion, and protection of vulnerable groups, but has also provided a broad understanding of the complexities associated with these issues. I feel that in order to establish an Ethical Practices Board that fulfills the city of Minneapolis' mission to "promote high ethical standards and conduct, and to foster a healthy ethical culture throughout Minneapolis city government," those on an ethics board must possess some key qualities. These qualities include a willingness to listen to opposing views and concerns objectively, an ability to analyze all facets of an issue without injecting one's personal beliefs into the matter, and a mission to act benevolently and fairly with the best interests of all individuals involved and without influence from external sources. Through my experience with these sorts of situations and the acquisition of the skills needed to act appropriately in this capacity, I would enthusiastically bring these essential qualities to the board.

Laura M. Reich, D.O.

Mailing Address

Hematology, Oncology, and Transplant
Division
Dept. of Medicine, University of
Minnesota
420 Delaware St., MMC 480
Minneapolis, MN 55455
[REDACTED]
[REDACTED]

Office

Variety Club Heart and Research Center
Rm #150
401 East River Parkway
Minneapolis, MN 55455

Residence

3000 West River Parkway, #306
Minneapolis, MN 55406
[REDACTED]

Present Position:

Medical Fellow, Hemostasis and Thrombosis Clinical Research, Division of
Hematology, Oncology, and Transplantation, University of Minnesota,
Minneapolis, MN

Education:

B.S. in Biology, Wilkes University, Wilkes-Barre, PA - Awarded 1994 through
the Wilkes University / Philadelphia College of Osteopathic Medicine
accelerated program
D.O., The Philadelphia College of Osteopathic Medicine, Philadelphia, PA, 1997
Masters in Clinical Research, School of Epidemiology, The University of
Minnesota, Minneapolis, MN, expected graduation in 2004

Post-Graduate Training:

Osteopathic Rotating Internship, Suburban General Hospital, Norristown, PA,
1997-1998
Internal Medicine Residency, Lankenau Hospital, Jefferson Health System,
Wynnewood, PA, 1998-2001
Fellowship in Vascular Medicine and Clinical Research, Division of
Cardiovascular Medicine, The University of Minnesota, Minneapolis,
MN, 2001-2003
Clinical Research Fellowship in Hemostasis and Thrombosis, Division
Of Hematology, Oncology, and Transplantation, The University of
Minnesota, Minneapolis, MN, 2003 to present (to be completed June,
2005)

Certification:

Diplomate of the American Board of Internal Medicine, Certificate (#208913),
2001
Pennsylvania License, Registration # OS-009970-L, 2000
Minnesota License, Registration # 44384

Hospital Appointments:

Staff Physician, Abington Memorial Hospital, Abington, PA, 2000-2001
Staff Physician, Frankford Hospital, Philadelphia, PA, 2000-2001

Awards and Honors:

Educational grant from the Peripheral Arterial Research Consortium to attend the Society for Vascular Medicine and Biology annual meeting, June 5-8, 2003, Chicago, Illinois.

Awarded educational grant from Bristol-Myers Squibb/Sanofi Pharmaceuticals Partnership to attend the Second National Forum of Vascular Diseases for Peripheral Vascular Fellows, January 14-16, 2002, Bonita Springs, Florida.

St. George Cancer Society Surgical Oncology Fellowship, Philadelphia, PA, 1994
Magna Cum Laude, Wilkes University, 1994

Elected to Delta Phi Alpha ($\Delta\Phi\text{A}$) National German Honor Society, Albright College, Reading, PA, 1991

Dean's List, Albright College and Wilkes University, 1990-1993

Professional Societies:

Member, American Society of Hematology, 2003 to present

Member, Society for Vascular Medicine and Biology, 2000 to present

Member, The American Medical Association, 1998 to present

Member, The American Osteopathic Association, 1997 to present

Major Research Activities:

Investigator of the role of inflammation in promoting thrombosis in an epidemiologic study, 2003 to present

Co-investigator for a clinical trial concerning a new pharmacotherapy for Raynaud's Disease, 2001 to present

Sub-investigator for clinical trials for evaluating investigational and long-term treatments for peripheral arterial disease, 2001 to present

Member of Cardiovascular Research Team, Physiology and Surgery Departments, The Philadelphia College of Osteopathic Medicine, Investigation into clinical aspects of autografts in porcines, 1993-1995

Major Educational/Professional Responsibilities:

Speaker on hypertension, Pfizer Pharmaceuticals, 2002 to present

Faculty member of the Otsuka Pharmaceutical Company's speakers bureau for the claudication drug Pletal, 2001 to 2003

Representative, Division Training and Curriculum Committee, Graduate School, University of Minnesota School of Public Health, 2002 to present

Cochair, weekly Internal Medicine Hospital Grand Rounds, The Lankenau Hospital, 1998-2001

Member, Hospital Ethics Committee, The Lankenau Hospital, 1998-2001

Publications:

- Hirsch, A. and Reich, L.M., "Intermittent Claudication," *Current Treatment Options in Cardiovascular Medicine*, 2001, 3:167-180
- Cohen, D. and Reich L.M., "Pulmonary Embolism," *Emergency Medicine*, In Press
- Bordeaux, L., Reich, L.M., Hirsch, A. "The Epidemiology and Natural History of Peripheral Arterial Disease," *Peripheral Arterial Disease: Diagnosis and Treatment*, Coffman J. Ed., The Humana Press, 2002
- Reich, L.M., Bower, M.S., Key, N.S. "Role of the Geneticist in Testing and Counseling for Inherited Thrombophilia," *American Journal of Genetics*, May/June, 2003, 5(3): 33-43

Scholarly Presentations By Invitation:

- The Seventh Annual Symposium -- A Multidisciplinary Approach to the Diagnosis and Management of Peripheral Vascular and Cerebrovascular Diseases, "A Discussion of Cases in Venous Disease- Hypercoagulability, Venous Stasis and DVT / The Postphlebotic Syndrome," Minneapolis, MN, October 16, 2001.
- Celebrate The Flow Of Life: "A Public Health Fair about Stroke and Circulatory Diseases, "What is Peripheral Arterial Disease?", Minneapolis, MN, October 20, 2001.
- The Annual Meeting for the Society of Vascular Surgery. "The Role of Tobacco Use in Peripheral Arterial Disease," Boston, MA, June 2002
- University of Minnesota Internal Medicine Review. "The Current Diagnosis and Treatment of Peripheral Arterial Disease", Minneapolis, MN, October 9, 2002.



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APPLICATION FOR APPOINTMENT TO THE CITY OF MINNEAPOLIS

ETHICAL PRACTICES BOARD

NAME: <i>ROBERTA K. LEVY</i>		DATE: <i>4/19/04</i>
ADDRESS: <i>1201 YALE PLACE #1002</i>		ZIP +4: <i>55403</i>
HOME TELEPHONE NUMBER:	WORK TELEPHONE NUMBER:	WORK FAX NUMBER:
E-MAIL ADDRESS: [REDACTED]		
OCCUPATION: <i>Ret. Judge</i>	EMPLOYER: <i>State of Minnesota</i>	
EMPLOYER ADDRESS:		

Please print or type your answers to the following questions on this application, or on additional sheets.

Describe your background related to any required or desirable qualification listed in the Volunteer Opportunity Notice. Include any applicable experience with civic, professional or volunteer organizations and other city boards or commissions. List any awards or special recognition.

I AM ATTACHING A RESUME.

I retired from the bench in JANUARY, 2001 IN ORDER TO TAKE AN APPOINTMENT TO AN INDEPENDENT COMMITTEE OF 3 RETIRED JUDGES WHO REVIEW DENIALS OF CLAIMS BY HMDS. I WAS APPOINTED BY THE CHIEF JUDGE OF HENNEPIN COUNTY.

List the reasons you want to serve on this body:

[REDACTED]

[REDACTED]

RESUME

Roberta K. Levy

RESIDENCE: 1201 Yale Place
Minneapolis, Minnesota 55403
[REDACTED]

CHAMBERS: Hennepin County Government Center
Minneapolis, Minnesota 55487
[REDACTED]

[REDACTED]

EDUCATION:

Temple University, B.A. 1959, English and History Major,
magna cum laude.

Gratz College, Degree in Hebrew Education, 1957.

University of Minnesota Law School, J.D. 1964,
magna cum laude.

Minnesota Law Review 1961-1962.

PROFESSIONAL EXPERIENCE:

1989-1992	Chief Judge of District Court, Fourth Judicial District
1983 - date	Judge, District Court, Fourth Judicial District
1978-1983	Judge, Hennepin County Municipal Court
1981-date	Adjunct Professor of Law, - University of Minnesota Law School, teaching trial advocacy (seminar each spring)
1975-1978	Associate Professor of Law, University of Minnesota Law School, teaching Evidence and Trial Advocacy
1971-1975	Associate, Maslon, Kaplan, Edelman, Borman, Brand and McNulty, Minneapolis, Minnesota

1965-1971 Assistant State Public Defender,
State of Minnesota

1964-1965 Associate, Robins, Davis and Lyons,
Minneapolis, Minnesota

PROFESSIONAL ACTIVITIES RELATED TO TEACHING TRIAL ADVOCACY

Team Leader, National Institute for Trial Advocacy,
Boulder, Colorado, 1977, 1979, 1981, 1983, 1987.

Team Leader, National Institute for Trial Advocacy,
North Central Regional, Minneapolis, Minnesota, 1990, 1991.

Team Leader, National Institute for Trial Advocacy, Mid
America Regional, Lawrence, Kansas, 1984, 1985, 1986,
1987, 1989.

Team Leader, National Institute for Trial Advocacy, ABA
Regional, University of Nebraska, 1979.

Team Leader, National Institute for Trial Advocacy,
Southwest Regional, University of Arizona, 1977, 1978.

Team Leader, National Institute for Trial Advocacy,
Anchorage, Alaska, 1982.

Cofounder and Team Leader, Minnesota Institute of
Criminal Justice, Bemidji, Minnesota, 1977 and 1979.

Faculty, National Institute for Trial Advocacy,
Boulder, Colorado, 1976, 1980.

Faculty, Equal Employment Opportunity Commission,
Training Session in Trial Advocacy, Wisconsin, 1980.

Faculty, Harvard Law School, annual course in Trial
Advocacy, most of the years from 1976 through 1989.

Faculty, National Institute for Trial Advocacy, Teacher
Training Programs, 1978 and 1980.

Faculty, National Institute for Advanced Trial
Advocacy, Gainesville, Florida, 1979 and 1980.

SPEECHES AND OTHER PRESENTATIONS

Annual Minnesota Supreme Court Orientation for New
Judges on Evidence and or Judicial Ethics

Minnesota Continuing Legal Education Evidence Seminars

Lecturer, University of Minnesota, Continuing Education
for Women

American Bar Association, Litigation Section,
Trial Advocacy Seminar

American Bar Association, Litigation Section,
National Institute on Deposition

American Bar Association, Litigation Section,
Trial of Products Liability Case

OTHER PROFESSIONAL ACTIVITIES

Member, American Law Institute since 1986.

Member, Minnesota Board on Judicial Standards, 1980 to
1990 (Chair, 1987 to 1989).

Current membership in the following commissions
appointed by the Minnesota Supreme Court, the Minnesota
Legislature, or the Minnesota District Court Judges
Association:

Minnesota Supreme Court Advisory Committee on
Uniform General Rules of Practice for the
State of Minnesota;

Minnesota Supreme Court Advisory Committee on
Alternative Dispute Resolution;

Advisory Committee to the Minnesota
Legislature on Criminal and Juvenile Justice
Information Systems;

Advisory Committee to the Conference of Chief
Judges on State Corrections Delivery Systems

Minnesota District Judges Association Civil
Jury Instruction Guides Committee.



APPLICATION FOR APPOINTMENT TO THE CITY OF MINNEAPOLIS
ETHICAL PRACTICES BOARD

NAME: Tom Schumacher		DATE: March 12, 2004
ADDRESS: 5145 Washburn Avenue South		ZIP +4: 55410-2253
HOME TELEPHONE NUMBER: [REDACTED]	WORK TELEPHONE NUMBER: [REDACTED]	WORK FAX NUMBER: [REDACTED]
E-MAIL ADDRESS: [REDACTED]		
OCCUPATION: Attorney-Director of Institutional Compliance	EMPLOYER: University of Minnesota	
EMPLOYER ADDRESS: 411 Johnston Hall, 101 Pleasant Street S.E., Minneapolis, MN 55455		

Please print or type your answers to the following questions on this application, or on additional sheets.

Describe your background related to any required or desirable qualification listed in the Volunteer Opportunity Notice. Include any applicable experience with civic, professional or volunteer organizations and other city boards or commissions. List any awards or special recognition.

I have a wide variety of professional and civic experiences that make me well-qualified to serve the City on the Ethical Practices Board. I currently am the Director of Institutional Compliance for the University of Minnesota. I am the first person to serve in that position, and was hired to develop and implement a system-wide compliance program. I have independent oversight over operational units to assure that the University and its employees comply with all legal and University requirements, including its conflict of interest policies. As part of the compliance program, I am responsible for developing initiatives to improve the University's ethical culture and increase awareness of ethical practices. I serve on policy-making committees responsible for revising the University's conflict of interest and conflict of commitment policies, and have a working knowledge of the complexities associated with managing potential conflicts of interest. Working with the University's auditor and Office of General Counsel, I have conducted investigations and made findings regarding compliance breakdowns. I also provide regular reports to the University's Board of Regents' Audit Committee. I am familiar with managing staff, preparing budgets, and organizing office matters.

Prior to accepting this position, I served in the University's general counsel's office for over four years as a litigation attorney. In that capacity, I represented the University in state and federal courts as lead attorney on matters, conducted and participated in investigations of major legal and NCAA breakdowns, and frequently interviewed or deposed witnesses. I also have a robust understanding of the complex laws and policy issues associated with public and private data, the rights of public officials and employees who are the subject of investigations or complaints, as well as the public's interest in transparency. In both positions at the University, I have worked extensively with consultative decision-making through various committees and groups, and worked to help write and revise policy. I also have worked on a variety of high-profile matters, including serving as co-counsel in the University's litigation against its former men's basketball coach, and experience with the publicity that surrounds such matters.

Before entering public service, I worked as an associate attorney with the Dorsey and Whitney law firm in the complex commercial litigation practice group. I began my career in public service working as a law clerk for the Honorable Richard H. Kyle, a United States District Court Judge. I graduated with honors in the top ten percent of my class (*order of the coif, magna cum laude*) from the University of Minnesota Law School in 1994 and from the University of St. Thomas with honors (*summa cum laude*) in 1991.

I have demonstrated a commitment to public service throughout my career. While in law school, I worked with then state house representative Myron Orfield and various civic groups to address concerns about "white flight" from the City school system. I served as the Law Student Counsel President, and spent two years in public service as a judicial clerk for the federal court system after that. While in private practice, I repeatedly exceeded our firm's goals for public service through *pro bono* representation of indigent clients. Since 1998, I have worked full time in public service through my University employment. I have also contributed to civic responsibilities through active service for many years on the Minnesota State Bar Association's Professionalism Committee, whose mission is to improve the ethical conduct of Minnesota attorneys.

Thomas J. Schumacher

5145 Washburn Ave. South
Minneapolis, MN 55410



EXPERIENCE

University of Minnesota, Office of Institutional Compliance
Director of Institutional Compliance 2002 to present

Primary responsibility for developing and monitoring institution-wide compliance program for all legal affairs affecting the University and its employees.

University of Minnesota, Office of the General Counsel
Associate General Counsel, 1998-2002

Primary responsibility for general litigation and related matters in a wide variety of areas, including commercial disputes, employment, contract, risk management, personal injury, probate, intellectual property, regulatory matters, and fraud.

Dorsey & Whitney LLP

Associate, Complex Litigation Practice Group 1996-1998

Responsibility for a variety of business litigation matters, including fraud, malpractice, employment law, breach of contract, intellectual property.

United States District Court

Law Clerk, the Honorable Richard H. Kyle, 1994-1996

Responsible for research, writing, and case analysis for federal law issues, including regulatory, administrative, patent, criminal, constitutional and a wide array of business and tort issues.

EDUCATION

University of Minnesota Law School

J.D. Magna Cum Laude, 1994 (top 10% of class)

Honors: *Order of the Coif*

Law Counsel President

University of St. Thomas

B.A. Summa Cum Laude, 1991

Majors: Mathematics and Philosophy; Minor: English

Honors: Distinguished Scholar and Allis scholarships, Aquinas Scholar

**PROFESSIONAL
ACTIVITIES**

Active member, Minnesota State Bar Association Professionalism Committee